


MEMORANDUM

DATE	February 1, 2017
TO	Psychology Board Members
FROM	 Sandra Monterrubio, Enforcement Program Manager Board of Psychology
SUBJECT	Enforcement Report, Item 6

Please find attached the Overview of Enforcement Activity conveying complaint, investigation, and discipline statistics to date for the current fiscal year.

The Board has hired Curtis Gardner as its new Probation Monitor. Mr. Gardner was previously employed by the Board of Registered Nursing as a probation monitor. Mr. Gardner is responsible for all aspects of probation monitoring, including probation intake, scheduling interviews, and responding to all communications from probationers, practice/billing monitors, employers, and worksite monitors. Mr. Gardner is also responsible for preparing concise and detailed probation reports. With the hiring of Mr. Gardner, there are no vacancies in the Enforcement Unit.

Complaint Program

Since July 1, 2016, the Board of Psychology (the Board) has received 657 complaints. All complaints received are opened and assigned to an enforcement analyst within an average of seven (7) days of receipt. Staff continues to perform desk investigations which include, requesting patient medical release approval, obtaining relevant patient records, a written response from the subject of the complaint, and an opinion from a board expert. Most complaints are investigated by in-house enforcement analysts who conduct "desk" investigations. Investigations that require fieldwork, interviews, or a sworn peace officer because of safety concerns or criminal activity are referred to Health Quality Investigations Unit (HQUI) or the Division of Investigation.

Citation Program

Since July 1, 2016, the Board has issued 19 enforcement citations. Citation and fines are issued for minor violations.

Discipline Program

Since July 1, 2016, the Board has referred 27 cases to the Office of the Attorney General for formal discipline.

Probation Program

Enforcement staff is currently monitoring 45 probationers. Of the 45 probationers, seven (7) are out of compliance.

Action Requested

This item is for informational purposes only.

BOARD OF PSYCHOLOGY
Overview of Enforcement Activity

License & Registration	08/09	09/10	10/11	11/12	12/13	13/14	14/15	15/16	*16/17
Psychologist	20,307	21,019	21,527	22,020	22,688	****	20,575	20,024	20,461
Registered Psychologist	324	320	312	320	349	****	280	278	279
Psychological Assistant	1,397	1,429	1,507	1,635	1,727	****	1,701	1,466	1,537
Cases Opened	08/09	09/10	10/11	11/12	12/13	13/14	14/15	15/16	*16/17
Complaints Received	786	712	785	747	707	643	900	798	634
Criminal Conviction Reports Received	72	54	48	70	42	133	72	50	23
Investigations Opened	88	79	83	107	73	505	736	602	476
Cases sent to DA	2	3	3	5	6	0	0	0	0
Cases sent to AG	23	38	37	34	38	41	46	33	27
Filings	08/09	09/10	10/11	11/12	12/13	13/14	14/15	15/16	*16/17
Accusations	8	23	21	27	31	27	30	23	18
Statement of Issues	9	8	4	4	2	4	10	5	6
Petition to Revoke Probation	0	0	0	3	1	2	2	3	1
Petitions to Compel Psych. Exam	0	0	1	1	0	1	0	1	0
Petitions for Penalty Relief	3	1	0	1	1	2	0	0	0
Petition for Reinstatement	1	1	0	2	1	1	0	0	2
Petitions for Reconsideration	0	1	0	0	2	0	0	0	0
Filing Withdrawals/Dismissals	08/09	09/10	10/11	11/12	12/13	13/14	14/15	15/16	*16/17
Accusations Withdrawn	0	0	2	0	0	2	0	5	1
Accusations Dismissed	0	1	0	1	1	0	1	4	1
Statement of Issues Withdrawn	5	0	2	1	0	0	0	0	1
Citations	08/09	09/10	10/11	11/12	12/13	13/14	14/15	15/16	*16/17
Citations Ordered	5	10	9	18	10	6	11	27	19
Disciplinary Decisions	08/09	09/10	10/11	11/12	12/13	13/14	14/15	15/16	*16/17
Revocations	1	3	2	4	2	4	2	4	2
Revocation, Stayed, Probation	7	9	12	11	11	11	12	24	11
Revoked, Stayed, Probation, Susp.	3	0	0	0	0	0	0	0	0
Surrender	8	3	2	11	10	10	9	12	18
Reprovals	0	0	1	2	0	2	1	3	2
ISO/TRO/ASO/PC23 Ordered	3	4	1	4	3	2	2	2	1
Statement of Issues-License Denied	1	1	0	1	1	0	2	0	0
Other	2	1	2	0	1	0	1	1	0
Total Disciplinary Decisions	25	21	20	33	28	29	29	46	34
Other Decisions	08/09	09/10	10/11	11/12	12/13	13/14	14/15	15/16	*16/17
Statement of Issues-License Granted	4	5	4	3	6	3	1	1	0
Petitions for Penalty Relief Denied	1	0	0	0	0	0	0	0	0
Petitions for Penalty Relief Granted	3	1	1	1	1	1	0	0	0
Petition for Reinstatement Granted	1	0	0	0	1	0	0	0	1
Petition for Reinstatement Denied	0	0	1	1	1	0	0	0	1
Reconsiderations Denied	0	0	1	0	1	0	0	1	0
Reconsiderations Granted	0	1	0	0	1	0	0	0	0
Orders Compelling Psych. Exam	0	0	0	1	0	1	0	0	0
Total Other Decisions	9	7	7	6	11	5	1	2	2
Violation Types	08/09	09/10	10/11	11/12	12/13	13/14	14/15	15/16	*16/17
Gross Negligence/Incompetence	5	4	4	3	5	9	7	16	23
Improper Supervision	0	0	1	0	0	1	0	1	0
Repeated Negligent Acts	0	0	0	1	0	6	6	5	22
Self Abuse of Drugs or Alcohol	2	3	3	12	1	8	3	2	7
Dishonesty/Fraud	0	3	0	2	2	3	3	15	6
Mental Illness	1	0	0	0	2	1	0	4	2
Aiding Unlicensed Practice			0	0	0	1	0	1	0
General Unprofessional Conduct	1	1	1	0	2	14	2	5	8
Probation Violation	1	0	0	2	1	2	0	3	4
Sexual Misconduct	6	1	2	6	9	1	1	6	6
Conviction of a Crime	7	9	8	5	5	11	12	18	14
Discipline by Another State Board	1	0	1	1	0	0	0	5	1
Violation of Rules of Professional						0	1	18	26
Misrepresentation of License Status						1	1	1	0
Professional Standards - Findings						13/14	14/15	15/16	*16/17
Abandonment						1	0	0	0
Confidentiality						1	1	1	3
Failure to provide medical records						0	2	1	1
Outside of area of competence						1	0	3	5

*Statistics through January 27, 2017

****Statistics unavailable